

2017 No. 30

**THE NATIONAL HEALTH SERVICE (WALES) ACT
2006**

**Directions to Local Health Boards as to the Statement of
Financial Entitlements (Amendment) (No. 3) Directions 2017**

Made - - - - *28 September 2017*

Coming into force - - - - *1 October 2017*

The Welsh Ministers in exercise of the powers conferred on them by sections 45, 203(9) and (10) and 204(1) of the National Health Service (Wales) Act 2006⁽¹⁾, and after consulting in accordance with section 45(4) of that Act with the bodies appearing to them to be representative of persons to whose remuneration these Directions relate, give the following Directions:

Title, application and commencement

- 1.—(1) The title of these Directions is the Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.3) Directions 2017.
- (2) These Directions are given to Local Health Boards and apply in relation to Wales.
- (3) These Directions come into force on 1 October 2017.

Amendments to the Statement of Financial Entitlements

2. The Directions to Local Health Boards as to the Statement of Financial Entitlements Directions 2013⁽²⁾ which came into force on 11 June 2013, as amended by the Directions set out in Schedule 1 to these Directions, are further amended.

Amendment to Section 7 – Childhood Immunisations

- 3.—(1) In paragraph 7.3(b)(i), for “pertussis and Haemophilus influenza type B (HiB);” substitute “pertussis, Haemophilus influenza type B (HiB) and hepatitis B;”.
- (2) In paragraph 7.4, after “HiB/Men C booster vaccine” in the two places it occurs, insert “or the Rotavirus vaccine”.

Insertion of Section 9A - (Hepatitis B Vaccination)

4. After section 9 (Pneumococcal Vaccine and HIB/MEN C Booster Vaccine) insert—

(1) (c.42).

(2) The Directions to Local Health Boards as to the Statement of Financial Entitlements 2013 (2013 No. 8) as amended.

“Section 9A - HEPATITIS B VACCINATION FOR BABIES

9A.1. This section makes provision in respect of payments to be made in respect of the administration of the Hepatitis B vaccination to babies, as part of the Hepatitis B Vaccination Programme, by a contractor, which is contracted to provide childhood vaccines and immunisations as part of Additional Services. Vaccines and immunisations are classified as an Additional Service),.

9A.2. The LHB must pay to the contractor who qualifies for the payment, a payment of £9.80 in respect of each dose administered to a baby who is on the contractor's list of registered patients and who is born to a mother who is infected with Hepatitis B

9A.3. The contractor must not administer the Hepatitis B vaccination to a baby in a case where the Hepatitis B status of the mother is unknown.

9A.4. The first dose of the Hepatitis B vaccine is to be administered by the contractor to a baby as soon as possible after the birth of the baby, but only if it has not already been administered by a hospital immediately after the birth of the baby.

9A.5. The second dose of the Hepatitis B vaccine is to be administered by the contractor to a baby—

- (a) who has attained the age of one month; or
- (b) after the period of at least four weeks from the date on which the first dose was administered.

9A.6. The third dose of the Hepatitis B vaccine may only be administered by the contractor to a baby who has attained the age of 12 months.

Claims for Payment

9A.7. A contractor may not claim a payment for the administration of the third dose of the Hepatitis B vaccine to a baby unless the contractor has recorded the results in the baby's patient record of a blood test to ascertain the existence of Hepatitis B infection.

9A.8. A contractor is eligible for a payment in respect of the administration of the Hepatitis B vaccine to a baby in any case where the vaccine status of the baby is unknown or incomplete and the contractor completes the administration of the required doses of the Hepatitis B vaccine to that baby.

9A.9. Claims for payment must be submitted within 6 months of the administration of the vaccination. Any amount payable falls due on the next date when the contractor's Payable GSMP falls due.

9A.10. The LHB must ensure that the receipt and payment in respect of claims are properly recorded and that each such claim has a clear audit trail.

Eligibility for payment

9A.11. A contractor is only eligible for a payment under this Section in circumstances where the following conditions are met—

- (a) the contractor is contracted to provide vaccines and immunisations as part of Additional Services;
- (b) the baby in respect of whom the payment is claimed was on the contractor's list of registered patients at the time the vaccine was administered;
- (c) the contractor does not receive any payment from any other source in respect of the vaccine (if the contractor does receive any such payment in respect of any baby from any other source, the Board must give serious consideration to recovering any payment made under this Section in respect of that patient pursuant to paragraphs 19.1 and 19.2 (overpayments and withheld amounts)); and

- (d) the contractor submits the claim for payment—
 - (i) for the first dose of the vaccine, within 6 months of administering that dose,
 - (ii) for the second dose of the vaccine, within 6 months of administering that dose, or
 - (iii) for the third dose of the vaccine, within 6 months of administering that dose..

Conditions attached to payment

9A.12. A payment under the provisions of this Section is only payable if the contractor satisfies the following conditions—

- (a) the contractor must supply the LHB in respect of each baby for which a payment is claimed—
 - (i) the name of the baby;
 - (ii) the date of birth of the baby;
 - (iii) the NHS number, where known, of the baby;
 - (iv) confirmation that the baby has received the required doses of the Hepatitis B vaccine in accordance with paragraph 9A.4 to 9A.6; and
 - (v) the date on which each dose of the vaccine was administered by the contractor,
 but where a parent or carer objects to details of the baby’s name or date or birth being supplied to the LHB, the contractor need not supply such information to the LHB but must supply the baby’s NHS number;
- (b) the contractor must provide appropriate information and advice to the parent or carer of the baby;
- (c) the contractor must record in the baby’s records, kept in accordance with paragraph 72 of Schedule 6 to the 2004 Regulations, any refusal of an offer of the Hepatitis B vaccine;
- (d) where the Hepatitis B vaccine is administered, the contractor must record in the baby’s records, kept in accordance with paragraph 72 of Schedule 6 to the 2004 Regulations, those matters set out in paragraph 5(2)(d) of Schedule 2 to the 2004 Regulations;
- (e) the contractor must ensure that any health care professional who performs any clinical service in connection with the administration of the vaccine has such clinical experience and training as are necessary to enable that health care professional to properly perform such services and that such health care professionals are trained in the recognition and initial treatment of anaphylaxis;
- (f) the contractor must make available to the LHB any information which the LHB does not have but needs, and the contractor either has or could be reasonably expected to obtain, in order to form its opinion on whether the contractor is eligible for payment under the provisions of this Section;
- (g) the contractor must make any returns required of it (whether computerised or otherwise) to the Exeter Registration System, and do so promptly and fully; and
- (h) all information provided pursuant to or in accordance with this paragraph must be accurate.

9A.13. If the contractor breaches any of these conditions, the LHB may, in appropriate circumstances, withhold payment of any, or any part of, the payment due under this Section.”

Amendment to Section 17 – Dispensing

5. In section 17.6 (Products not covered by this Section), for sub-paragraph (e), substitute “DTaP/IPV/HiB/HepB(Diphtheria/Tetanus/Pertussis/Inactivated Polio/Haemophilus influenza type B/Hepatitis B);”.

Amendment to Part 2 of Annex G - Dispensing Feescales for Contractors that are authorised or required to provide Dispensing Services

6.—(1) In Part 2 of Annex G (Dispensing fee scale for contractors that are authorised or required to provide dispensing services) omit the words “To apply from 1 April 2017”.

(2) For the Table in Part 2 of Annex G, substitute the following Tables:

“To apply from 1 October 2017 up to and including 31 March 2018

Total prescriptions calculated separately for each individual dispensing practitioner, in bands	Prices per prescription in pence
Up to 457	212.0
458 – 571	209.0
572 – 687	206.2
688 – 800	203.6
801 – 915	201.2
916 – 1028	199.0
1029 – 1429	196.9
1430 – 2000	195.1
2001 – 2286	193.4
2287 – 2857	191.9
2858 – 3428	190.6
3429 – 4000	189.4
4001 – 4569	188.4
4570 and over	187.7

To apply from 1 April 2018

Total prescriptions calculated separately for each individual dispensing practitioner, in bands	Prices per prescription in pence
Up to 457	220.8
458 - 571	217.6
572 - 687	214.8
688 - 800	212.0
801 - 915	209.5
916 - 1028	207.3
1029 - 1429	205.1
1430 - 2000	203.2
2001 - 2286	201.4
2287 - 2857	199.8
2858 - 3428	198.5
3429 - 4000	197.3
4001 - 4569	196.3
4570 and over	195.5”

Amendment to Part 3 of Annex G - Dispensing Feescales for Contractors that are not authorised or required to provide Dispensing Services

7.—(1) In Part 3 of Annex G (Dispensing feescale for contractors that are not authorised or required to provide Dispensing Services) omit the words “To apply from 1 April 2017”.

(2) For the Table in Part 3 of Annex G, substitute the following Tables—

“To apply from 1 October 2017 up to and including 31 March 2018

Total prescriptions calculated separately for each individual dispensing practitioner, in bands	Prices per prescription in pence
Up to 457	220.9
458 - 571	217.8
572 - 687	215.1
688 - 800	212.5
801 - 915	210.2
916 - 1028	207.9
1029 - 1429	205.8
1430 - 2000	204.0
2001 - 2286	202.3
2287 - 2857	200.8
2858 - 3428	199.4
3429 - 4000	198.3
4001 - 4569	197.3
4570 and over	196.6

⁽¹⁾ This includes prescribing doctors or non-dispensing doctors personal administration drugs.

To apply from 1 April 2018

Total prescriptions calculated separately for each individual dispensing practitioner, in bands	Prices per prescription in pence
Up to 457	230.0
458 - 571	226.9
572 - 687	224.0
688 - 800	221.3
801 - 915	218.9
916 - 1028	216.6
1029 - 1429	214.4
1430 - 2000	212.5
2001 - 2286	210.7
2287 - 2857	209.1
2858 - 3428	207.7
3429 - 4000	206.6
4001 - 4569	205.5
4570 and over	204.8

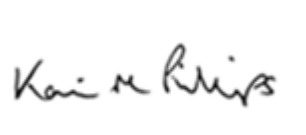
⁽¹⁾ This includes prescribing doctors or non-dispensing doctors personal administration drugs.”.

Amendment to Annex I – Routine childhood vaccines and immunisations

8. For the Table in Annex I, substitute the following Table.

“Table

When to immunise	What vaccine is given	How it is given
Two months old	Diphtheria, tetanus, pertussis (whooping cough), polio, <i>Haemophilus influenzae</i> type b (DTaP/IPV/Hib) and Hepatitis B	One injection
	Pneumococcal (PCV)	One injection
	Rotavirus (Rota)	One oral dose
Three months old	Diphtheria, tetanus, pertussis (whooping cough), polio, <i>Haemophilus influenzae</i> type b (DTaP/IPV/Hib) and Hepatitis B	One injection
	Rotavirus (Rota)	One oral dose
Four months old	Diphtheria, tetanus, pertussis (whooping cough), polio, <i>Haemophilus influenzae</i> type b (DTaP/IPV/Hib) and Hepatitis B	One injection
	Pneumococcal (PCV)	One injection
Around twelve months	<i>Haemophilus influenzae</i> type b, Men C (Hib/MenC)	One injection
	Measles, mumps and rubella (MMR)	One injection
	Pneumococcal (PCV)	One injection
Three years four months to five years old	Diphtheria, tetanus, pertussis (whooping cough) and polio (dTaP/IPV or DTaP/IPV)	One injection
	Measles, mumps and rubella (MMR)	One injection”



Signed by Karin Phillips, Deputy Director, Primary Care Division under the authority of the Cabinet Secretary for Health, Well-being and Sport, one of the Welsh Ministers

Date: 28 September 2017

SCHEDULE 1

Amendments to the Directions to Local Health Boards as to the Statement of Financial Entitlements Directions 2013 which came into force on 11 June 2013

- (a) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2013 (2013 No.60), which were made on 30 September 2013.
- (b) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2014 (2014 No.3), which were made on 16 June 2014.
- (c) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 2) Directions 2014 (2014 No.17), which were made on 27 June 2014.
- (d) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 3) Directions 2014 (2014 No.24), which were made on 30 September 2014.
- (e) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2015 (2015 No.7), which were made on 31 March 2015.
- (f) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment No 2) Directions 2015 (2015 No.14), which were made on 01 April 2015.
- (g) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment No 3) Directions 2015 (2015 No.15), which were made on 20 April 2015.
- (h) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment No 4) Directions 2015 (2015 No.19), which were made on 25 June 2015.
- (i) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.5) Directions 2015 (2015 No. 30), which were made on 30 September 2015.
- (j) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2016 (2016 No. 7), which were made on 30 March 2016.
- (k) (The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 2) Directions 2016 (2016 No. 9), which were made on 11 April 2016.
- (l) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 3) Directions 2016 (2016 No. 17), which were made on 13 July 2016.
- (m) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 4) Directions 2016 (2016 No.19), which were made on 16 August 2016.
- (n) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.5) Directions 2016 which were made on 15 December 2016.
- (o) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 6) Directions 2017 which were made on 31 January 2017.
- (p) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2017 which were made on 27 April 2017.
- (q) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.2) Directions 2017 which were made on 9 August 2017.