

Statistical First Release



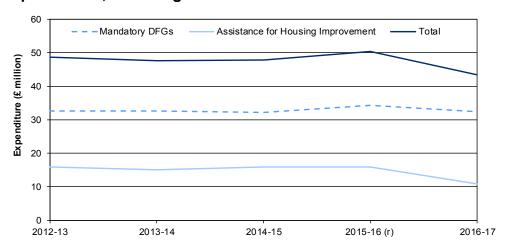


Assistance for housing improvement by local authorities, 2016-17

08 Feb 2018 SFR 12/2018

Financial help is provided by local authorities, to owners and tenants, for home improvement and repair under the <u>Regulatory Reform (Housing Assistance)</u> (England and Wales) Order 2002.

Total expenditure on all types of assistance for housing improvement, including Disabled Facilities Grants



(r) One Local authority revised DFG expenditure for 2015-16, and therefore total expenditure.

- During 2016-17 overall expenditure on assistance for housing improvement (including Disabled Facilities Grants (DFGs) decreased by 14 per cent compared with the previous year to £43.3 million. Of the 22 local authorities, 13 reported a decrease in overall expenditure.
- During 2016-17, 4,121 Mandatory Disabled Facilities Grants, with a total value of £32.5 million, were completed by local authorities in Wales. This represents three quarters of the overall expenditure on private sector renewal.

Renewal areas are established to improve housing and general amenities of an area where social and environmental problems are combined with poor housing.

 During 2016-17, the number of renewal areas in operation decreased to 11 and overall expenditure in these areas decreased by 14 per cent to £14.1 million.

About this release

This release presents information about assistance provided by local authorities for housing improvement. It includes information on mandatory disabled facilities grants which are paid by a local authority and help towards the cost of adapting a home to enable a disabled person to continue to live there. Assistance is primarily aimed at improving private sector housing but is also provided for social housing via housing renewal schemes. The information is used to monitor trends in activity undertaken by local authorities to improve the quality of housing stock within their area.

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1. Overall expenditure on all assistance for housing improvement by local authorities, including disabled facilities grants (DFGs)

This section of the release looks at the overall expenditure across Wales on all types of home improvement, and repair, where assistance has been provided by the local authority. This includes expenditure by the local authorities themselves (in the form of grants and loans) and money spent on both mandatory disabled facilities grants (DFGs) as approved under the 1996 Act and other non-mandatory assistance. DFGs are grants paid by a local authority which can help towards the cost of adapting a home to enable a disabled person to continue to live there.

It also includes other non-local authority expenditure in the form of third party assistance, and loans, facilitated by the local authorities, and the financial contributions made by individual residents. It does not include any expenditure by individuals on home improvement which does not involve, or is not supported by, the local authority.

Further information on Disabled Facilities Grants is provided in the <u>Key quality information</u> and Glossary sections of this release.

<u>Table 1</u> below shows the overall expenditure on assistance for housing improvement, which includes expenditure on mandatory DFGs and other non-mandatory assistance. Following increases in the previous two years, during 2016-17 a total of £43.3 million was spent on all types of home improvement and repair, which is down by 14 per cent on the previous year.

Table 1 – Overall expenditure on all assistance for housing improvement, including disabled facilities grants (DFGs) by type of expenditure (a)

£ thousands Direct assistance Third party by local authority assistance and Non-Residents' loans facilitated by Mandatory Total expenditure Mandatory the local authority Year Grants contribution DFGs **DFGs** (including DFGs) Loans Total 2012-13 12,055 1,511 13,566 952 422 32,700 982 48,622 2013-14 9,841 1,598 11,439 1,349 1,192 32,726 1,050 47,757 2014-15 9,004 2,284 11,288 875 1,088 47,945 2,599 32,096 **50,311** (r) 2015-16 5,844 3,302 9,145 1,551 3,868 1,401 34,345 (r) 705 2,005 2016-17 5,057 2,624 470 43,338 7.682 32,476

Source: Annual returns covering assistance for housing improvement and Disabled Facilities Grants

⁽a) See point in Key Quality Information for details of expenditure included.

⁽r) One Local authority revised DFG expenditure for 2015-16, and therefore total expenditure.

Since 2008-09, mandatory DFGs have contributed more to the total expenditure than other types of assistance for housing improvement. During 2016-17, mandatory DFGs accounted for three quarters of all spending, with non-mandatory DFGs accounting for a further 5 per cent.

In recent years, in terms of direct assistance by local authorities, the provision of loans had been increasing whilst direct assistance via grants (excluding DFGs) had been decreasing. During 2016-17 however the amount spent on both fell which may be a result of increased pressure on local authority budgets. Local authorities across Wales spent 13 per cent less on direct assistance via grants (excluding DFGs) and 21 per cent less on the provision of loans than they did in 2015-16.

In previous years there had been increases in non-local authority expenditure, in the form of third party assistance, loans and the financial contributions made by individual residents. However, during 2016-17, this was down by over three quarters (78 per cent) on the previous year and accounted for just 3 per cent of overall spend compared with 11 per cent in 2015-16.

Table 2 – Overall expenditure on assistance for housing improvement, including DFGs, by local authority area (a)

		Per	centage change 2015-
Local Authority	2015-16	2016-17	16 to 2016-17
Isle of Anglesey	970	1,039	7
Gwynedd	1,903	1,300	-32
Conwy	956	1,105	16
Denbighshire	2,917	1,018	-65
Flintshire	1,080	1,362	26
Wrexham	3,353	2,776	-17
Powys	2,858	2,176	-24
Ceredigion	3,056	1,419	-54
Pembrokeshire	977	1,020	4
Carmarthenshire	2,156	2,061	-4
Swansea	5,127 (r)	4,423	-14
Neath Port Talbot	2,882	2,984	4
Bridgend	2,812	2,617	-7
The Vale of Glamorgan	2,875	1,447	-50
Cardiff	4,609	5,588	21
Rhondda Cynon Taf	4,819	4,119	-15
Merthyr Tydfil	977	786	-20
Caerphilly	1,947	2,164	11
Blaenau Gwent	916	1,062	16
Torfaen	1,071	1,185	11
Monmouthshire	707	508	-28
Newport	1,345	1,177	-12
Wales	50,311 (r)	43,338	-14

Source: Annual returns covering assistance for housing improvement and Disabled Facilities Grants

⁽a) See point 13 in Key Quality Information for details of expenditure included

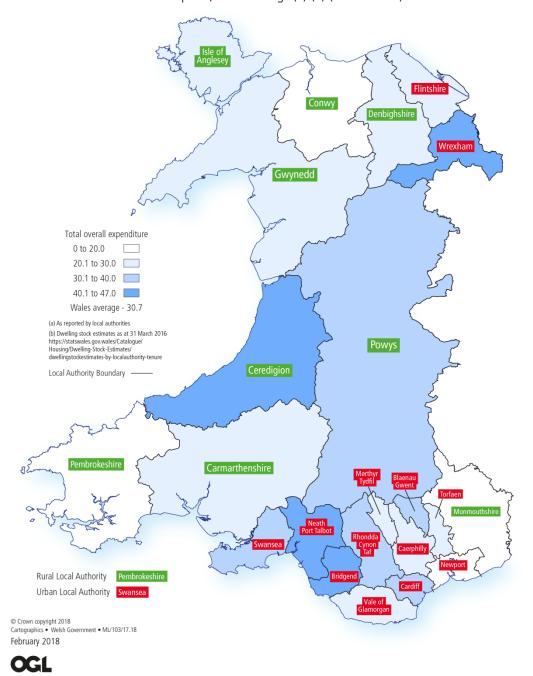
⁽r) One Local authority revised DFG expenditure for 2015-16, and therefore total expenditure.

At a local authority level 13 of the 22 local authorities reported decreases in overall expenditure compared with the previous year and 9 reported an increase. The decreases in expenditure reported ranged from 65 per cent (£1.9 million less) in Denbighshire to 4 per cent (£94,000 less) in Carmarthenshire (Table 2).

The percentage increases in overall expenditure compared with the previous year were generally much lower ranging from 26 per cent (£282,000 more) in Flintshire to 4 per cent in both Neath Port Talbot (£102,000 more) and Pembrokeshire (£43,000 more) and (Table 2).

Assistance for Housing Improvement

Map 1: Total overall expenditure (Including mandatory DFGs) during 2016-17 per 1,000 dwellings (a) (b) (£ thousands)



Cardiff reported the highest amount of overall spending on assistance for housing improvement during 2016-17 (£5.6 million). However, taking into account the number of dwellings in each area, Wrexham was the local authority with the highest rate of spending (£46,100 per 1,000 dwellings), followed by Neath Port Talbot (£45,700 per 1,000 dwellings).

In contrast, Monmouthshire reported the lowest overall spending on assistance for housing improvement (£508,000 in total) and had the lowest rate of spending at just £12,300 per 1,000 dwellings.

2. Expenditure on assistance for housing improvement by local authorities, excluding Disabled Facilities Grants (DFGs)

This section of the release looks at the expenditure by both local authorities and others on home improvement and repair, but excludes spending on mandatory DFGs and any additional non-mandatory DFG assistance. Assistance is available in three forms:

- direct assistance by the local authority in the form of grants and loans
- third party loans and other assistance facilitated by the local authority
- residents' contributions

During 2016-17 expenditure on assistance for housing improvement (excluding DFGs) decreased by 39 per cent to just £8.9 million compared with £14.6 million in the previous year.

2.1 Expenditure by type of assistance (excluding DFGs)

The decrease in expenditure on assistance for housing improvement (excluding DFGs) was mainly due to large decreases seen in expenditure on third party assistance and loans facilitated by the local authority which were down by 88 per cent to just £470,000 compared with £3.9 million in 2015-16. Three local authorities, Denbighshire, Ceredigion and Merthyr Tydfil, had been responsible for 90 per cent of all the expenditure on third party assistance and loans during 2015-16 but all three reported zero expenditure during 2016-17 due to the ending of funding for particular schemes¹.

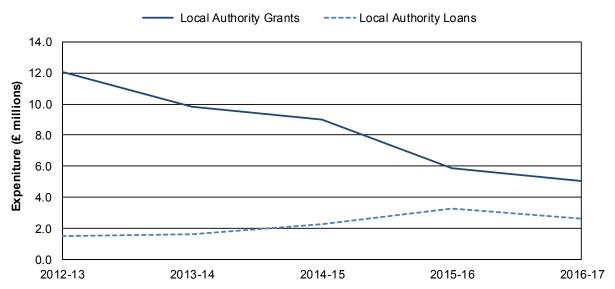
Residents' contributions have fluctuated since information was collected in 2009-10. During 2016-17, around 8 per cent (£705,000) of expenditure on assistance for housing improvement (excluding DFGs) was provided by residents' contributions, compared to 11 per cent (£1.6 million) during 2015-16. The amount of contributions will depend on the overall costs for different projects in each year. The 2016-17 residents contributions figure was down by 55 per cent on the previous year mainly due to decreases in 5 of the 22 local authorities. The largest decrease (£681,000) was reported in Powys followed by Rhondda Cynon Taf with a decrease of around £83,000 and Bridgend with a decrease of around £61,000.

The majority of the expenditure, £7.7 million (87 per cent), continued to be via direct assistance by authorities in the form of grants and loans. In recent years, there has been a distinct move away from grants, towards loans however during 2016-17 the amount spent on both decreased.

¹ These included funding for energy efficiency schemes including Arbed and National Energy Action (NEA) schemes, houses into homes and innovative funding.

Expenditure on grants was down by 13 per cent during 2016-17 to £5.1 million and expenditure on loans dropped by over a fifth during 2016-17 to £2.6 million (Chart 1).

Chart 1 – Expenditure on direct assistance provided by local authorities by type of assistance (excluding DFGs) (a).



Source: Annual returns covering assistance for housing improvement

(a) See point 13 in Key quality information for details of expenditure included.

Further information on the types of organisations providing third party loans and assistance is provided in the Glossary section of this release.

Detailed data tables on expenditure by type of assistance can be found on StatsWales.

2.2 Number of dwellings improved (excluding DFGs)

This section of the release looks at the number of dwellings improved as a result of expenditure by the local authority in the form of grants, loans and other third party assistance under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. The number of dwellings improved will only include those dwellings where the improvement work has been completed during the year.

During 2016-17, 5,791 dwellings were improved which is a decrease of 6 per cent compared with the previous year. Over the same period, however, overall expenditure (excluding DFGs) decreased by 39 per cent to £8.9 million.

The amount spent per dwelling in 2016-17 was around £1,500 compared with around £2,400 during the previous year. There can be considerable variation in the amount spent per dwelling across the different local authorities depending on the type of assistance provided.

Dwellings improved by type of recipient

Assistance for housing improvement is usually targeted towards more vulnerable households, though assistance is not limited to them.

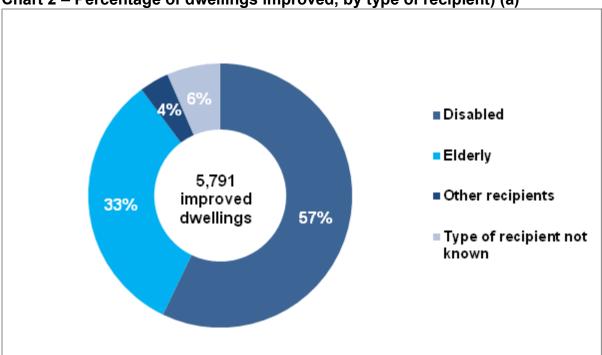


Chart 2 – Percentage of dwellings improved, by type of recipient) (a)

Source: Annual returns covering assistance for housing improvement

(a) Includes assistance for housing improvement under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. May also include some residual activity under the 1996 Act. Does not include Specific Capital Grants (SCGs).

The highest proportion of dwellings improved continued to be for disabled recipients accounting for 57 percent of all dwellings improved during 2016-17 compared with 50 per cent in 2015-16. The number of homes improved for the disabled also increased by 7 per cent during 2016-17 to 3,310 dwellings.

The number improved for the elderly however was down by 12 per cent on the previous year to 1,892 dwellings accounting for a third of all dwellings improved compared with 35 per centnt in 2015-16 (Chart 2).

The number of dwellings improved where the type of recipient was unknown fell by almost a half (48 per cent) during 2016-17 and accounted for 6 per cent of all dwellings improved, compared with 12 per cent in 2015-16.

Dwellings improved by tenure

During 2016-17, the majority of dwellings improved continued to be in the private sector (owner occupied and privately rented), most of which were owner occupied. Around 62 per cent (3,562 dwellings) of the dwellings improved were owner occupied, up from 55 per cent during 2015-16. A further 6 per cent (352 dwellings) were privately rented dwellings down from 7 per cent in 2015-16 (Chart 3).

During 2016-17, there was a decrease in both the number and proportion of social sector dwellings improved. The number was down by almost a fifth (19 percent) on the previous year to 845 dwellings accounting for 15 per cent of dwellings improved compared with 17 per cent in 2015-16. During 2016-17, the tenure was unknown for 18 per cent (1,032 dwellings) of the dwellings improved, which is lower than the 21 per cent during 2015-16.

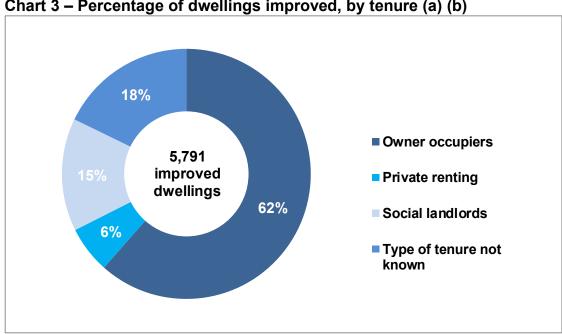
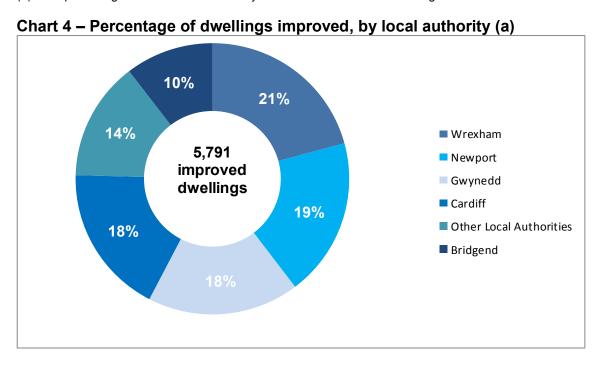


Chart 3 – Percentage of dwellings improved, by tenure (a) (b)

Source: Annual returns covering assistance for housing improvement

- (a) Includes assistance for housing improvement under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. May also include some residual activity under the 1996 Act. Does not include Specific Capital Grants (SCGs).
- (b) The percentages shown in the chart may not add to 100% due to rounding.



Source: Annual returns covering assistance for housing improvement

(a) Includes assistance for housing improvement under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. May also include some residual activity under the 1996 Act. Does not include Specific Capital Grants (SCGs).

At a local authority level, 5 local authorities contained around 82 per cent of all the dwellings improved due to expenditure on assistance for housing improvement (excluding DFGs). Newport contained the highest proportion at 24 per cent, followed by Wrexham with 21 per cent and Gwynedd with 18 per cent. Bridgend and Cardiff accounted for 10 and 9 per cent respectively.

Both the number of dwellings improved and the amount spent per dwelling varies considerably across the different authorities, depending on the type of assistance provided. This is a reflection of the different housing improvement assistance policies and grant schemes operated by each local authority. For example, other than expenditure on DFGs, both Torfaen and Monmouthshire reported no expenditure on housing improvement assistance and no dwellings improved during 2016-17. Flintshire reported expenditure of £173,000 with just 8 dwellings improved whilst Conwy reported expenditure of £75,000 with 5 dwellings improved and Pembrokeshire reported expenditure of £4,000 with 7 dwellings improved.

3. Disabled Facilities Grants (DFGs)

This section of the release looks specifically at the number of, and expenditure on, Mandatory Disabled Facilities Grants (DFGs) during 2016-17 as well as expenditure on non-Mandatory DFG assistance by the local authorities including 'top up' loans and grants. Information on non-Mandatory DFG assistance has only been collected separately on the annual DFG return since 2009-10.

Disabled Facilities Grants are grants paid by a local authority which can help towards the cost of adapting a home to enable a disabled person to continue to live there.

The payment of some DFGs is mandatory under the <u>Housing Grants, Construction and Regeneration Act (1996)</u>, the amount of grant will depend on the cost of the approved works and the homeowner's financial circumstances. It is also possible to improve a dwelling by delivering disabled adaptations without using a Disabled Facilities Grant and instead just using additional non-mandatory assistance. Local authorities are required to provide information on the amount they spend on this type of non-mandatory assistance. However this must be the amount spent specifically on supporting a disabled adaptation rather than general improvement work. Further detail is provided in the Key quality information and Glossary sections of this release.

During 2016-17, 4,121 Mandatory Disabled Facilities Grants (DFGs), with a total value of £32.5 million, were completed by local authorities in Wales. This was a decrease of 5 per cent on the previous year and represented three quarters of the overall expenditure on assistance for housing improvement during 2016-17.

Since 2013-14 information has also been collected covering the number of dwellings improved through adaptation work. As dwellings can be improved through adaptation work which is outside the mandatory DFG process, the number of dwellings improved may differ from the number of completed grants. During 2016-17, a total of 5,826 dwellings were improved across Wales, compared with a total of 4,121 completed Mandatory DFGs.

Table 3 – Expenditure on all disabled facilities grants and assistance (a)(b)

·	_		L :: 0		
Total DFG expenditure	33,682	33,776	33,183	35,746 (r)	34,481
Additional non-mandatory DFG assistance (including top-up loans and grants)	982	1,050	1,088	1,401	2,005
Mandatory DFGs approved under the 1996 Act.	32,700	32,726	32,096	34,345 (r)	32,476
DFG	2012-13	2013-14	2014-15	2015-16	2016-17
					£ thousands

Source: Disabled Facility Grants return

At a Wales level, expenditure on mandatory DFGs has remained fairly constant, with only slight fluctuations over recent years. Across Wales, expenditure on additional non-mandatory DFG assistance is generally at a much lower level than that on mandatory DFGs, however it has been increasing since 2012-13 (Table 3).

⁽a) Housing Grants, Construction and Regeneration Act 1996.

⁽b) Only includes expenditure on completed mandatory disabled facility grants where final payments have been made on the grant and certified. Does not include applicants' contribution and additional fees. Includes additional non-mandatory assistance by the local authority such as top up loans and grants.

⁽r) One Local authority revised DFG expenditure for 2015-16, and therefore total expenditure.

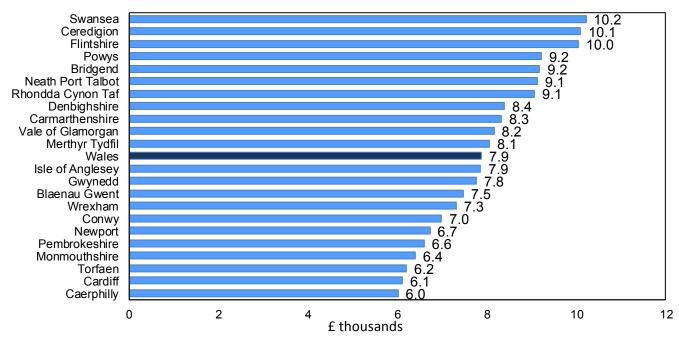
During 2016-17 expenditure on non-mandatory DFG assistance increased by 43 per cent compared to the previous year to £2 million (<u>Table 3</u>). This represented around 6 per cent of all DFG expenditure during the year.

At a local authority level, 14 of the 22 authorities reported a decrease in their spending on mandatory DFGs over the past year. The largest decrease was recorded in Merthyr Tydfil where expenditure was down by 43 per cent (£359,000), followed by Wrexham where spending was down by just over a quarter (26 per cent, £489,000). Merthyr Tydfil reported a large reduction in the number of referrals received by their grants department as well as less complicated grants being applied for which reduced the overall spend. Wrexham reported a reduction in referrals to the local authority from occupational therapy which reduced the number of mandatory DFGs and the amount spent.

In contrast, the largest increase in mandatory DFG expenditure was recorded in Flintshire with a rise of 42 per cent (£315,000), followed by Cardiff where spending was up by 14 per cent (£619,000). Flintshire reported an increased number of dwellings being improved along with an increase in the average cost per improvement. Cardiff reported a rise in the overall average cost of grant work due to increased contractor rates.

Detailed information on DFG expenditure at an individual local authority level is available on <u>Stats</u> <u>Wales</u>.

Chart 5 – Value of completed mandatory disabled facilities grants (DFGs) per improved dwelling, 2016-17



Source: Disabled Facilities Grants return

During 2016-17, the average spend on mandatory DFGs per improved dwelling in Wales was £7,881 which was 2 per cent more than the 2015-16 figure (£7,711 (revised) per improved dwelling). However, the amount spent varied considerably between authorities, with Swansea, Ceredigion and Flintshire spending around £10,000 per dwelling compared with £6,000 per dwelling in Caerphilly.

Further data on Local Authority expenditure on mandatory DFGs can be found on StatsWales.

4. Renewal areas

Renewal areas were established to improve housing and general amenities of an area where social and environmental problems are combined with poor housing. Area-based renewal schemes enable local authorities to focus activity and investment on areas that combine a need for assistance with the potential for regeneration. Investment in these areas aims to secure improvements to both the houses themselves and to environmental, social and economic conditions, and is regarded as important in tackling the problems many local communities face in terms of social exclusion and sustainability. The Welsh Government provides local authorities with grants for housing renewal areas. Further information is available in the key quality information and glossary sections of the release.

During 2016-17, there were 11 renewal areas in operation in Wales compared with 17 (revised) in the previous year and resulting in the number of dwellings within such areas falling to 20,451 compared with 31,532 (revised) in 2015-16. In 4 local authorities, Denbighshire, Carmarthenshire, Bridgend and Merthyr Tydfil, the renewal areas recorded during 2015-16 were no longer in operation and in Ceredigion and Neath Port Talbot fewer renewal areas were recorded.

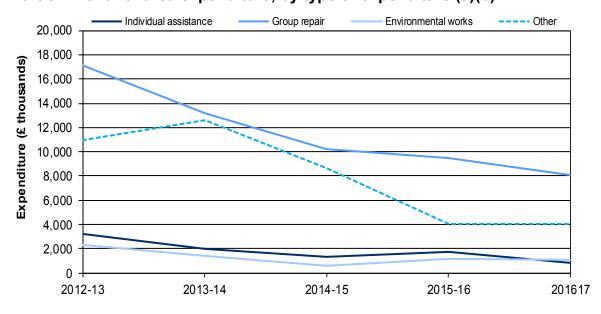


Chart 6 – Renewal area expenditure, by type of expenditure (a)(b)

Source: Annual Renewal Area Activity returns and Welsh Government

A total of £14.1 million was spent on these areas in 2016-17 which is a drop of 14 per cent on the previous year. As was the case in previous years, most expenditure (57 per cent) went on group repair schemes amounting to £8.1 million.

Expenditure in renewal areas on both individual assistance and environmental works has been gradually falling since 2012-13 (Chart 6). During 2016-17, however, expenditure on environmental works was slightly higher for the first time and accounted for 8 per cent (£1.1 million) of all

⁽a) Includes third party loans and other third party assistance facilitated by the local authority. Excludes any repayments of grants or repayments of local authorities

⁽b) Data includes Specific Capital Grants (SCGs).

expenditure in renewals areas whilst expenditure on individual assistance accounted for 6 per cent (£872,000).

Of the 9 local authorities where renewal areas were in operation during 2016-17, 5 reported a decrease in overall expenditure and 4 reported an increase. One authority, Ceredigion, recorded no expenditure in its renewal area during 2016-17 due to it being the last year of the scheme and in Flintshire spending was down up by over half (54 per cent) on the previous year. The largest increases in expenditure were recorded in the Vale of Glamorgan, where spending increased by 95 per cent from £890,000 to £1.7 million, followed by Caerphilly where spending increased by 82 percent from £711,000 to £1.3 million.

In 6 local authorities, whilst there were no longer any renewal areas in operation during 2016-17 there was some residual spending. This varied from £824,000 in Merthyr Tydfil to £61,000 in Gwynedd.

Detailed information on renewal area expenditure at a local authority level is available on StatsWales.

5. Key quality information

In addition to the information below, further information can be found in the <u>Housing Statistics</u> Quality Report which is available on our website.

Well-being of Future Generations Act (WFG)

The Well-being of Future Generations Act 2015 is about improving the social, economic, environmental and cultural well-being of Wales. The Act puts in place seven well-being goals for Wales. These are for a more equal, prosperous, resilient, healthier and globally responsible Wales, with cohesive communities and a vibrant culture and thriving Welsh language. Under section (10)(1) of the Act, the Welsh Ministers must (a) publish indicators ("national indicators") that must be applied for the purpose of measuring progress towards the achievement of the Well-being goals, and (b) lay a copy of the national indicators before the National Assembly. The 46 national indicators were laid in March 2016.

Information on the indicators, along with narratives for each of the well-being goals and associated technical information is available in the <u>Well-being of Wales report</u>.

Further information on the Well-being of Future Generations (Wales) Act 2015.

The statistics included in this release could also provide supporting narrative to the national indicators and be used by public services boards in relation to their local well-being assessments and local well-being plans.

National Statistics status

The <u>United Kingdom Statistics Authority</u> has designated these statistics as National Statistics, in accordance with the Statistics and Registration Service Act 2007 and signifying compliance with the <u>Code of Practice for Official Statistics</u>.

National Statistics status means that official statistics meet the highest standards of trustworthiness, quality and public value.

All official statistics should comply with all aspects of the Code of Practice for Official Statistics. They are awarded National Statistics status following an assessment by the UK Statistics Authority's regulatory arm. The Authority considers whether the statistics meet the highest standards of Code compliance, including the value they add to public decisions and debate.

It is Welsh Government's responsibility to maintain compliance with the standards expected of National Statistics. If we become concerned about whether these statistics are still meeting the appropriate standards, we will discuss any concerns with the Authority promptly. National Statistics status can be removed at any point when the highest standards are not maintained, and reinstated when standards are restored.

Policy and operational context

This information is used to monitor the effectiveness of current policy, particularly progress against the <u>National Housing Strategy</u> objective for all households in Wales to have the opportunity to live in good quality homes and for future policy development. The <u>'White Paper for Better Lives and</u>

<u>Communities'</u> also outlines the Welsh Government commitment to 'improve the quality of existing homes'.

The <u>Housing (Wales) Act 2014</u> became law on 17 September 2014 and introduces significant improvements across the housing sector to help ensure that people have access to a decent, affordable home and better housing-related services. The Act sits alongside a wide range of policy developments and deployment of resources to increase housing supply, improve housing quality and housing services.

Users and uses

The information in this release is used by the Welsh Government to establish and monitor the amount spent annually across Wales on assistance for housing improvement and the number of dwellings that have been improved. It is used to monitor trends in activity undertaken by local authorities to improve the quality of housing stock within their area.

The information on both mandatory and non-mandatory Disabled Facilities Grants (DFGs) helps provide a picture of the number and types of DFGs and the costs of adaptations at a local and national level. The Welsh Government local government finance department uses data on DFGs in its local government settlement calculations. Local authorities use the information for a number of reasons, including strategic planning for housing and completing Local Housing Market Assessments.

More generally the information is used for:

- Monitoring housing trends;
- Policy development;
- · Advice to Ministers;
- Informing debate in the National Assembly for Wales and beyond; and
- Geographic profiling, comparisons and benchmarking.

There are a variety of users of these statistics including national and local government, researchers, academics and students. For further information on the users and uses please refer to the Housing Statistics Quality Report.

Data source and coverage

Data in this statistical release is collected annually by the Welsh Government from all 22 local authorities in Wales. The most recent statistics cover the 2016-17 financial year. The data shown in this release are collected via three separate returns, covering:

- Assistance for housing improvement
- Disabled Facilities Grants
- Renewal Areas

Further information on the data processing cycle can also be found in the <u>Housing Statistics Quality</u> <u>Report</u>. All 22 local authorities responded to the data collection for 2016-17 therefore there has been no imputation of the data.

The information shown includes activity under the <u>Local Government and Housing Act 1989</u>, the <u>Housing Grants</u>, <u>Construction and Regeneration Act 1996</u>) and the <u>Regulatory Reform (Housing Assistance)</u> (England and Wales) Order 2002.

Quality

The United Kingdom Statistics Authority has designated these statistics as National Statistics, in accordance with the Statistics and Registration Service Act 2007 and signifying compliance with the Code of Practice for Official Statistics.

Designation can be broadly interpreted to mean that the statistics:

- meet identified user needs;
- are well explained and readily accessible;
- are produced according to sound methods, and
- are managed impartially and objectively in the public interest.
- Once statistics have been designated as National Statistics it is a statutory requirement that the Code of Practice shall continue to be observed.

National Statistics are produced to high professional standards set out in the Code of Practice for Official Statistics. They undergo regular quality assurance reviews to ensure that they meet customer needs. They are produced free from any political reference.

Welsh housing statistics adhere to the Welsh Government's <u>Statistical Quality Management</u> <u>Strategy</u>, and this is in line with the European Statistical System's six dimensions of quality, as listed in Principle 4 of the Code of Practice for Official Statistics. Further detail on how these are adhered to can be found in the <u>Housing Statistics Quality Report</u>, which covers the general principles and processes leading up to the production of our housing statistics. The report covers various topics including definitions, coverage, timeliness, relevance and comparability.

Administrative data quality assurance

This release has been scored against the UK Statistics Authority Administrative Data Quality Assurance matrix. The matrix is the UK Statistics Authority regulatory standard for the quality assurance of administrative data. The Standard recognises the increasing role that administrative data are playing in the production of official statistics and clarifies what producers of official statistics should do to assure themselves of the quality of these data. The toolkit that supports it provides helpful guidance to statistical producers about the practices they can adopt to assure the quality of the data they receive, and sets out the standards for assessing statistics against the Code of Practice for Official Statistics.

The matrix assesses the release against the following criteria:

- Operational context and administrative data collection
- Communication with data supply partners
- Quality assurance principles, standards and checks applied by data suppliers
- Producer's quality assurance investigations and documentation.

Local authorities are notified of the data collection exercise timetable in advance. This allows adequate time for local authorities to collate their information, and to raise any issues they may have. There is guidance in the spreadsheet, which assists users on completing the form.

Validation and verification

Local authorities complete data collection forms with built in guidance and primary validation which allow them to validate some data before sending to the Welsh Government.

Examples of validation checks within the forms include year-on-year changes, cross checks with other relevant data tables and checks to ensure data is logically consistent. The authorities are also given an opportunity to include contextual information where large changes have occurred (e.g. data items changing by more than 10% compared to the previous year). This enables some data cleansing at source and minimises follow up queries.

Copies of the data collection forms can be found on the website.

Assistance for Housing Improvement – Data collection

Disabled facilities grants for housing - Data collection

Housing renewal areas activity - Data collection

Once we receive the data, it goes through further validation and verification checks, for example:

- Common sense check for any missing/incorrect data without any explanation;
- Arithmetic consistency checks;
- Cross checks against the data for the previous year;
- Cross checks with other relevant data collections:
- Thorough tolerance checks;
- Verification that data outside of tolerances is actually correct.

If there is a validation error, we contact the local authority and seek resolution. If we fail to get an answer within a reasonable timescale, we will use imputation to improve data quality. We will then inform the organisation and explain to them how we have amended or imputed the data. The method of imputation and the affected data is highlighted in the 'quality information' section of the first release.

More detailed quality information relating specifically to assistance for housing improvement by local authorities, which is not included in the quality report, is given below.

Data quality

In tables where figures have been rounded, the sum of the individual figures may not equal the total shown.

The Welsh Government's guidance on statistical quality is available.

Comparability

For the 2013-14 data collection process onwards, the definitions and guidance covering 'third party assistance and loans facilitated by the local authority' were extended following review to ensure that funding schemes such as Houseproud, Warmer Wales, Energy Company Obligations (ECO), Green Deal, Nest and Arbed were included. The information shown from 2013-14 for 'third party assistance and loans facilitated by the local authority' is therefore not strictly comparable with that shown for previous years where information on these funding schemes may have not been reported.

Data on non-mandatory DFG assistance has been collected and included in these statistics since 2009-10. Non-mandatory DFGs make up a small proportion of assistance for housing improvement, however caution should be taken when comparing figures from prior to 2009-10.

In 2013-14, information was collected for the first time covering the number of dwellings improved through adaptation work. The number of dwellings improved however may be greater than the total number of mandatory DFGs completed as dwellings can be improved through adaptation work which is outside of the Mandatory DFG process.

In order to assist with comparability between local authorities, all data providers are issued with the same data collection form and guidance. However, we are aware that some local authorities include other small works grants in their DFG figures, whilst others do not. These issues are relatively small and do not impact significantly on the Wales total.

Revisions

This release contains the final data for 2016-17 financial year. Revisions can arise from events such as late returns from a local authority or when a data supplier notifies the Welsh Government that they have submitted incorrect information and resubmits this. Occasionally, revisions can occur due to errors in our statistical processes. In these cases, a judgement is made as to whether the change is significant enough to publish a revised statistical release.

During the 2016-17 data collection one local authority, Swansea, revised the data previously provided in relation to mandatory Disabled Facility Grants for 2015-16. Therefore the total mandatory DFG expenditure for Swansea for 2015-16 has been revised from £3,089,937 to £3,933,000, increasing the overall mandatory DFG expenditure at a Wales level from £33,502,054 to £34,345,117. The revision has also affected corresponding percentages.

The data shown for 2015-16 for both the number of renewal areas and the overall number of dwellings within those areas was also revised following the identification of an error for one authority, Conwy, during the validation process for the 2016-17 exercise. Previously Conwy was recorded as having no renewal areas during 2015-16. This has now been revised to show one renewal area in Conwy in 2015-16 with 250 dwellings. The overall number of renewal areas in 2015-

16 has therefore been revised from 16 to 17 and the overall number of dwellings has been revised from 31,282 to 31,532. There was no change to the expenditure figures shown.

Revised figures have been marked with an "r", in the relevant tables, charts and in the related text throughout.

Where changes are not deemed to be significant (i.e. minor changes), these will be updated in the following year's statistical release. However, minor amendments to the figures may be reflected in the StatsWales tables prior to that next release.

Sometimes local authorities submit revised data for previous years. If this happens, we will update the information in the following year's statistical release.

We follow the Welsh Government's statistical revisions policy.

Accessibility

A full set of data on assistance for housing improvement, mandatory DFGs and renewal areas, including information by individual local authority is available to download from our StatsWales interactive website.

Assistance for housing improvement
Disabled facilities grants

Coherence with other statistics

The National Strategic Indicators for local government also collected information on disabled facilities grants up until 2015-16 when the collection was discontinued. The National Strategic Indicator PSR/002 covered the average number of calendar days taken to deliver a disabled facility grant at an individual local authority level. The denominator for the indicator PSR/002 was the total number of completed DFGs during the year. There are some discrepancies however between these two data sets due to definitional and timing differences on the part of some local authorities. The data for the National Strategic Indicators up to 2015-16 can be found on the StatsWales website.

Related statistics for other UK countries

Housing statistics for other UK countries are available at the following links:

England:

Private sector renewal and DFGs in England are covered by the same legislation as wales - the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. Comparable information for England on loans, grants and other assistance is collected in Section F of the on the annual 'Local Authority Housing Statistics' (LAHS) data returns. Data for 2014-15 are available.

Scotland:

The Housing (Scotland) 2006 Act introduced powers enabling local authorities to provide assistance for repair and improvement similar to those covered by the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002.

Housing Statistics for Scotland - Private Sector Housing Improvement and Repair Grants

Northern Ireland:

<u>Information on grants available for home improvement in Northern Ireland</u> including Renovation, Home Repair Assistance and Disabled Facilities Grants.

Glossary

Additional or ancillary fees

All non-building works costs, for example agency fees.

Assistance for housing improvement

Local authorities can offer homeowners financial help with home improvement and repair. This can include grants and loans for acquiring, adapting, improving, repairing, demolishing and replacing accommodation. In many cases, this assistance is targeted at vulnerable groups such as the disabled or elderly people. This assistance is referred to in this statistical release as assistance for housing improvement.

Certified

The date on which the eligible works are completed to the satisfaction of the local housing authority.

Common parts

In relation to a building, this includes the structure and exterior of the building and common facilities provided, whether in the building or elsewhere, for persons who include the occupiers of one or more flats in the building.

Completed grants

Final payment has been made on the grant and it has been certified.

Disabled facilities grants (DFGs)

Disable Facilities Grants are grants paid by a local authority for the provision of facilities for a disabled person (a) in a dwelling; or (b) in the common parts of a building containing one or more flats. These grants can help towards the cost of adapting a home to enable a disabled person to continue to live there. Examples of what a DFG might be used for are:

Improving access to a room, for example widening doors or installing a stair lift;

Providing additional bathing, for example a level access shower; and

Making preparation of food and cooking easier, for example providing low level units.

The payment of some DFGs is mandatory under the Housing Grants, Construction and Regeneration Act 1996 as amended by the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. The amount of grant will depend on the cost of the approved works and the homeowner's financial circumstances. The maximum grant payable is £36,000 in Wales but a local authority may use its discretion to pay additional costs if it chooses.

Dwelling

A building or part of a building occupied or intended to be occupied as a separate dwelling. For the purpose of this return, dwellings include houses in multiple occupation (HMOs) as defined in section 77 of the Housing Act 2004. They do not include individual bedsits (that is single rooms without the exclusive use of bath/shower or inside WC), but each group of bedsits sharing facilities should be counted as one dwelling.

Elderly

'Elderly people' covers male and female applicants aged 60 and over.

"Group repair" type schemes

This is a generic term and not defined in legislation. It is used to cover a range of schemes that local authorities may have in their local policies for the purpose of renewing a number of dwellings at the same time.

Houses in multiple occupation (HMOs)

These are defined in sections 254-260 of the Housing Act 2004 as a building or part of a building which:

- (i) meets the standard test;
- (ii) meets the self-contained flat test;
- (iii) meets the converted building test;
- (iv) has an HMO declaration in force; or
- (v) is a converted block of flats.

Local authority expenditure in renewal areas

Local authority expenditure is gross un-hypothecated General Capital Fund (GCF) expenditure which means that the money has not been given to the local authority for a specific purpose, and it is the local authority's decision as to how to spend it.

Mandatory DFGs

All disabled facilities grants approved under the 1996 Act.

Owner occupiers

Residents who own their own home or own with a mortgage.

Private renting

Residents who rent their dwelling from a private landlord.

Renewal areas

Area-based renewal schemes enable local authorities to focus activity and investment on areas that combine a need for assistance with the potential for regeneration. Investment in area-based renewal schemes should not only secure improvements to houses themselves but also to environmental, social and economic conditions, leading to a restoration of confidence in the area. These wide ranging benefits of area renewal are also important in tackling the problems many local communities face in terms of social exclusion and sustainability. The Welsh Government provides local authorities with grants for housing renewal areas.

Renewal areas seek to:

- improve housing and general amenities of an area where social and environmental problems are combined with poor housing;
- develop partnerships between residents, private sector interests and the local authority;
- bring about regeneration, including mixed-use development; and
- increase confidence in the future of an area, and through this help reverse any process of decline.

Repayments

These include repayments of loans funded by the local authorities and recoveries resulting from breaches of grant conditions.

Residents' contributions

These are counted at the point when the grant or assistance is awarded. The amount of contribution is determined by the test of resources as set by the local authority.

Social landlord

Residents who rent from either registered social landlords (housing associations) or the local authority.

Third party assistance

Includes any assistance to renewal activity from other sources. These could include contributions from the following organisations. (This is not a definitive list):

- Houseproud Assistance
- Voluntary sector expenditure
- Communities First expenditure
- Local Health Board expenditure
- Heads of the Valleys
- Groundwork
- Flying Start
- European Structural Funds
- Regeneration Investment Fund for Wales (RIFW), previously known as JESSICA (Joint
- European Support for Sustainable Investment in City Areas)
- National Park expenditure
- Energy efficiency schemes such as Nest, Arbed and the Warm Home Programme

Third party assistance and loans facilitated by the local authority

This means that the local authority is involved in some way, for example by providing the funding for the third party to give the loan.

Vibrant and viable places

Vibrant and Viable Places is the Welsh Government regeneration framework which has been operating between 2014 and 2017.Local authorities share a fund of more than £100m for projects in town centres, coastal communities and Communities First clusters. The aim is to achieve long-lasting economic and social change through investing in people and places. It aims to increase skills and employment opportunities and improve communities so they are better for people and the environment. An additional £7m is allocated for town centre related projects that tackle poverty. This fund has been ring fenced for projects in the top 10 per cent most deprived areas in Wales.

Housing renewal areas are an example of area-based housing-led regeneration programmes. Housing Renewal Areas can deliver significant regeneration impacts, and need to be part of wider plans, particularly in town centres. Since March 2013 funding for Renewal Areas has been included in the overall regeneration budget allocations as part of the 'Vibrant and Viable places regeneration framework'. Specific Renewal Area funding is coming to an end in 2016/17.

Further details

The document is available at:

http://gov.wales/statistics-and-research/assistance-housing-improvement/?lang=en

Next update

Annual release February 2019.

We want your feedback

We welcome any feedback on any aspect of these statistics which can be provided by email to stats.housing@gov.wales

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