

## Statistical First Release





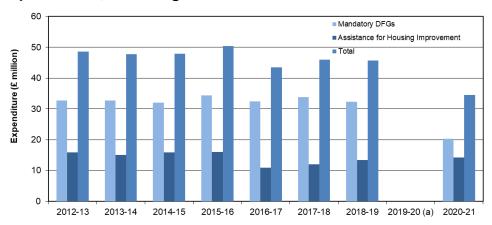
# Assistance for housing improvement by local authorities, 2020-21

16 Mar 2022 SFR 85/2022

Financial help is provided by local authorities, to owners and tenants, for home improvement and repair under the <u>Regulatory Reform (Housing Assistance)</u> (England and Wales) Order 2002.

The period covered by this release (April 2020 to March 2021) was during the first year of the Coronavirus (Covid-19) pandemic which saw the introduction of public health measures, including some periods of lockdown. Disruption to local authority services during this period may have impacted the figures. This should be kept in mind, particularly when comparing with previous years' data.

## Total expenditure on all types of assistance for housing improvement, including Disabled Facilities Grants



#### (a) Data not collected due to COVID-19 pandemic

- During 2020-21 the overall expenditure on assistance for housing improvement (including Disabled Facilities Grants (DFGs) was £34.5 million. The majority of local authorities reported a decrease in overall expenditure. It is possible this is due to the disruption caused by the Coronavirus pandemic.
- During 2020-21, 2,511 Mandatory Disabled Facilities Grants (DFGs), with a total value of £20.3 million, were completed by local authorities in Wales. Compared to 2018-19, this represented a decrease of 37% in the overall value of Mandatory DFGs.

## About this release

This release presents information about assistance provided by local authorities for housing improvement. It includes information on mandatory disabled facilities grants which are paid by a local authority and help towards the cost of adapting a home to enable a disabled person to continue to live there. Assistance is primarily aimed at improving private sector housing but is also provided for social housing via housing renewal schemes. The information is used to monitor trends in activity undertaken by local authorities to improve the quality of housing stock within their area.

## In this release

Overall expenditure	
including DFGs)	2
Expenditure (excluding	
OFGs)	5
Disabled facility grants	9
Renewal areas	11
Key quality information	13

Statistician: Craig McLeod ~ 0300 0615675 ~ stats.housing@gov.wales

Enquiries from the press: 0300 025 8099 Public enquiries: 0300 025 5050 Twitter: @StatisticsWales

# 1. Overall expenditure on all assistance for housing improvement by local authorities, including disabled facilities grants (DFGs)

This section of the release looks at the overall expenditure across Wales on all types of home improvement, and repair, where assistance has been provided by the local authority. This includes expenditure by the local authorities themselves (in the form of grants and loans) and money spent on both mandatory disabled facilities grants (DFGs) as approved under the <a href="Housing Grants">Housing Grants</a>, <a href="Construction and Regeneration Act 1996">Construction and Regeneration Act 1996</a> and other non-mandatory assistance. DFGs are grants paid by a local authority which can help towards the cost of adapting a home to enable a disabled person to continue to live there.

It also includes other non-local authority expenditure in the form of third party assistance, and loans, facilitated by the local authorities, and the financial contributions made by individual residents. It does not include any expenditure by individuals on home improvement which does not involve, or is not supported by, the local authority.

Further information on Disabled Facilities Grants is provided in the <u>Key Quality Information</u> and Glossary sections of this release.

<u>Table 1</u> below shows the overall expenditure on assistance for housing improvement, which includes expenditure on mandatory DFGs and other non-mandatory assistance. During 2020-21 the overall expenditure on assistance for housing improvement (including Disabled Facilities Grants (DFGs) was £34.5 million. This was markedly lower than in previous years. It is possible that this is a result of the Coronavirus (COVID-19) pandemic and the subsequent public health measures introduced by the UK and Welsh Governments impacting upon local authority services.

Table 1 – Overall expenditure on all assistance for housing improvement, by type of expenditure

								£ thousands
	Direct assistance by local authority			Residents'	Third party assistance and loans facilitated by	Non- Mandatory	Total expenditure (including	
Year	Grants	Loans	Total	contribution	the local authority	DFGs	DFGs	DFGs)
2012-13	12,055	1,511	13,566	952	422	32,700	982	48,622
2013-14	9,841	1,598	11,439	1,349	1,192	32,726	1,050	47,757
2014-15	9,004	2,284	11,288	875	2,599	32,096	1,088	47,945
2015-16	5,844	3,331	9,174	1,551	3,868	34,345	1,401	50,341
2016-17	5,057	2,682	7,740	705	470	32,520	2,039	43,474
2017-18	5,997	2,625	8,622	817	413	33,870	2,231	45,953
2018-19	7,490	2,159	9,649	1,443	257	32,320	2,003	45,672
2019-20 (a)	-	-	-	-	-	-	-	-
2020-21	8,569	1,800	10,369	681	1,000	20,258	2,193	34,500

Source: Annual returns covering assistance for housing improvement and Disabled Facilities Grants

(a) Data not collected for 2019-20 due to the impact of the COVID-19 pandemic.

Between 2018-19 and 2020-21, expenditure on mandatory DFGs decreased by over a third (from £32.3 million to £20.3 million). However, mandatory DFGs continued to contribute significantly more to the total expenditure than other types of assistance for housing improvement (a trend that has

continued since 2008-09). During 2020-21, mandatory DFGs accounted for 59%, with non-mandatory DFGs accounting for a further 6%.

In terms of direct assistance by local authorities, there was an overall increase of 7% between 2018-19 and 2020-21. However, direct assistance spending on loans decreased by 17% whilst direct assistance spending via grants (excluding DFGs) increased by 14%.

In terms of non-local authority expenditure, 2020-21 saw decreases in the financial contributions made by residents as well as mandatory DFGS. However, there was a substantial increase in third party assistance and loans facilitated by the local authority (from £257,000 in 2018-19 to £1 million in 2020-21).

Table 2 – Overall expenditure on assistance for housing improvement, including DFGs, by local authority area (a) (b)

	•	( ) ( )		£ thousands
			Difference between 2018-19	Difference between 2018-19 and
Local Authority	2018-19	2020-21	and 2020-21 (£)	2020-21 (%)
Isle of Anglesey	755	588	-167	-22.2
Gwynedd	1,969	2,029	60	3.0
Conwy	1,285	765	-521	-40.5
Denbighshire	1,420	1,065	-355	-25.0
Flintshire	562	575	14	2.4
Wrexham	2,538	1,321	-1,217	-47.9
Powys	2,459	2,605	146	5.9
Ceredigion	1,706	1,272	-434	-25.4
Pembrokeshire	993	432	-561	-56.5
Carmarthenshire	1,917	902	-1,016	-53.0
Swansea	4,429	2,625	-1,804	-40.7
Neath Port Talbot	2,752	1,382	-1,370	-49.8
Bridgend	2,538	2,441	-97	-3.8
The Vale of Glamorgan	1,254	953	-300	-24.0
Cardiff	7,327	10,071	2,744	37.5
Rhondda Cynon Taf	5,800	2,726	-3,074	-53.0
Merthyr Tydfil	607	150	-458	-75.4
Caerphilly	2,111	426	-1,685	-79.8
Blaenau Gwent	572	204	-368	-64.4
Torfaen	1,133	811	-323	-28.5
Monmouthshire	612	344	-269	-43.9
Newport	930	812	-118	-12.7
Wales	45,672	34,500	-11,172	-24.5

Source: Annual returns covering assistance for housing improvement and Disabled Facilities Grants

At a local authority level, 18 of the 22 local authorities reported decreases in overall expenditure compared with 2018-19, and just 4 reported an increase. Most of the biggest decreases were seen in the South Wales valleys with Caerphilly reporting a decrease of 80% in overall expenditure and Merthyr Tydfil a 75% decrease. The largest increase in overall expenditure was in Cardiff (37.5%) (Table 2).

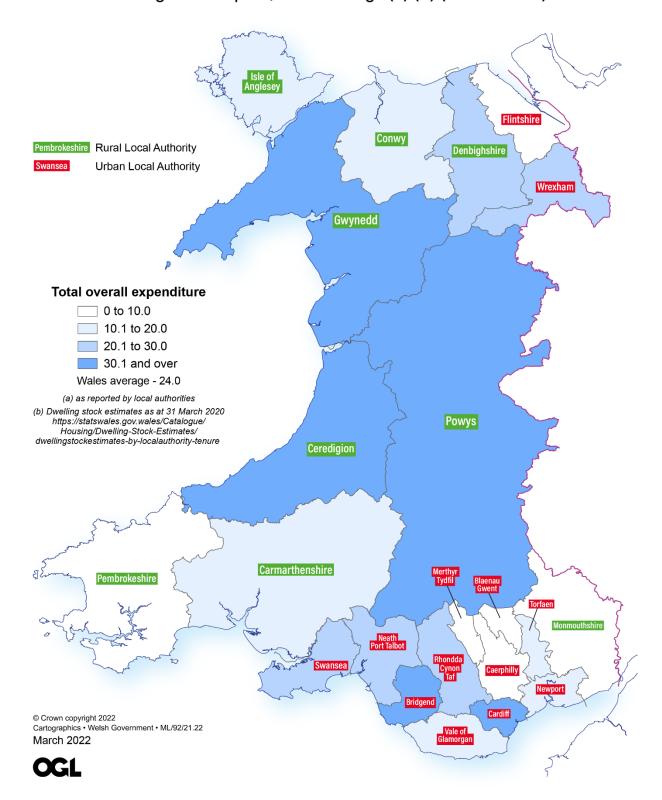
The percentage increases in overall expenditure ranged from 37% (£2.74 million more) in Cardiff to 2% in the Flintshire (£14,000 more) (<u>Table 2</u>).

<sup>(</sup>a) See point 13 in Key Quality Information for details of expenditure included.

<sup>(</sup>b) Data not collected for 2019-20 due to the impact of the COVID-19 pandemic.

## **Assistance for Housing Improvement**

MAP 1: Total overall expenditure (including mandatory DFGs) during 2020-21 per 1,000 dwellings (a) (b) (£ thousands)



Cardiff reported the highest amount of overall spending on assistance for housing improvement during 2020-21 (£10.1 million) and was also the local authority with the highest rate of spending (£65,700 per 1,000 dwellings), followed by Powys (£40,100 per 1,000 dwellings) and then Bridgend (£37,700 per 1,000 dwellings).

In contrast, Merthyr Tydfil reported the lowest overall spending on assistance for housing improvement (£150,000 in total), while Caerphilly had the lowest rate of spending at just £5,400 per 1,000 dwellings.

# 2. Expenditure on assistance for housing improvement by local authorities, excluding Disabled Facilities Grants (DFGs)

This section of the release looks at the expenditure by both local authorities and others on home improvement and repair, but excludes spending on mandatory DFGs and any additional non-mandatory DFG assistance. Assistance is available in three forms:

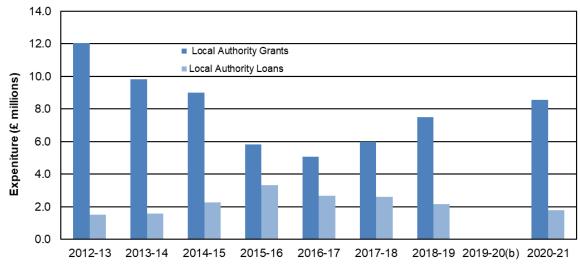
- direct assistance by the local authority in the form of grants and loans
- third party loans and other assistance facilitated by the local authority
- · residents' contributions

During 2020-21 expenditure on assistance for housing improvement (excluding DFGs) increased by 6% to £12 million compared with £11.3 million in 2018-19.

## 2.1 Expenditure by type of assistance (excluding DFGs)

The majority of this expenditure, £10.4 million (87%), continued to be via direct assistance by local authorities in the form of grants and loans. 2020-21 saw a 14% (£1.1 million) increase in grants, to £8.6 million, whilst loans decreased by 17% (£0.4 million) to £1.8 million.

Chart 1: Expenditure on direct assistance provided by local authorities by type of assistance (excluding DFGs) (a).



Source: Annual returns covering assistance for housing improvement

- (a) See "Data Source and Coverage" in Key Quality Information for details of expenditure included.
- (b) Data not collected due to COVID-19 pandemic.

The largest percentage increase was for the third party assistance and loans facilitated by the local authority category which increased from £257,000 in 2018-19 to £1 million in 2020-21. Of the 5 local authorities reporting expenditure in this category, Ceredigion reported the highest contribution at £653,000, followed by Isle of Anglesey (£178,000) and the Vale of Glamorgan (£150,000).

Residents' contributions have fluctuated since information was first collected in 2009-10, as the amount of contributions will depend on the overall costs for different projects in each year. Between 2018-19 and 2020-21, there was a decrease in resident's contribution (from £1.44 million to £681,000). Resident contribution was reported in 5 local authorities with the largest contributions seen in Powys (£493,000) followed by Rhondda Cynon Taf (£101,000) and Bridgend (£78,000).

Further information on the types of organisations providing third party loans and assistance is provided in the Glossary section of this release.

Detailed data tables on expenditure by type of assistance can be found on <a href="StatsWales">StatsWales</a>.

## 2.2 Number of dwellings improved (excluding DFGs)

This section of the release looks at the number of dwellings improved as a result of expenditure by the local authority in the form of grants, loans and other third party assistance under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. DFGs are excluded. The number of dwellings improved will only include those dwellings where the improvement work has been completed during the year.

During 2020-21, 3,877 dwellings were improved as a result of expenditure by local authorities in the form of grants, loans and other third party assistance. This excludes DFGs. Between 2018-19 and 2020-21 the number of dwellings improved decreased by 42%. However, over the same period, overall expenditure (excluding DFGs) increased by 6% to £12 million. The amount spent per dwelling in 2020-21 increased by 82% to around £3,100. There can be considerable variation in the amount spent per dwelling across the different local authorities depending on the type of assistance provided.

## **Dwellings improved by type of recipient (excluding DFGs)**

Assistance for housing improvement is usually targeted towards more vulnerable households, though assistance is not limited to them.

3,877
improved
dwellings

55%

Other recipients

Type of recipient not known

Chart 2: Percentage of dwellings improved, by type of recipient) (a)

Source: Annual returns covering assistance for housing improvement

(a) Includes assistance for housing improvement under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. May also include some residual activity under the 1996 Act. Does not include Specific Capital Grants (SCGs).

It should be noted that a recipient can only be recorded under one category type even though it may be possible for a recipient to belong to more than one group. Please see the Key Quality Information section for further information.

The highest proportion of dwellings improved continued to be for disabled recipients accounting for 55% of all dwellings improved during 2020-21 compared with 74% in 2018-19. The number of homes improved for the disabled decreased by 57% from 4,963 in 2018-19 to 2,144 dwellings in 2020-21.

The number improved for the elderly was down slightly compared to two years earlier to 1,153 dwellings, but accounted for 30% of all dwellings improved, compared with 18% in 2018-19 (Chart 2).

However, note that the type of recipient was unknown for 11% of the dwellings improved during 2020-21, an increase from the 3% seen in 2018-19. As such, caution is advised when making comparisons with previous years.

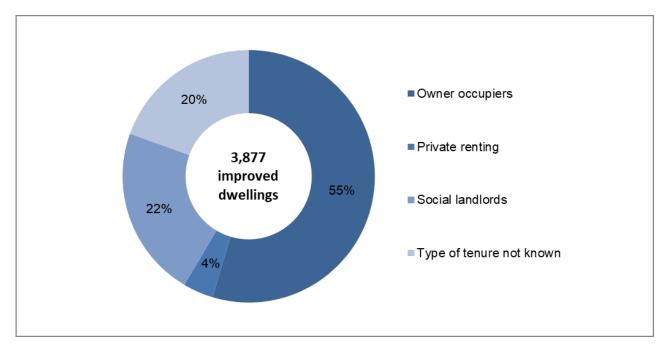
## **Dwellings improved by tenure (excluding DFGs)**

During 2020-21, the majority of dwellings improved continued to be in the private sector (owner occupied and privately rented), most of which were owner occupied. 55% (2,118 dwellings) of the dwellings improved were owner occupied, a decrease from 62% in 2018-19. A further 4% (151 dwellings) were privately rented dwellings, down from 6% in 2018-19 (Chart 3).

The number of social sector dwellings improved, decreased from 1,289 in 2018-19 to 851 in 2020-21. These accounted for 22% of all dwellings improved.

The type of tenure was unknown for 20% (757 dwellings) of the dwellings improved during 2020-21, an increase from the 13% seen in 2018-19. Hence, caution is advised when making comparisons with previous years.

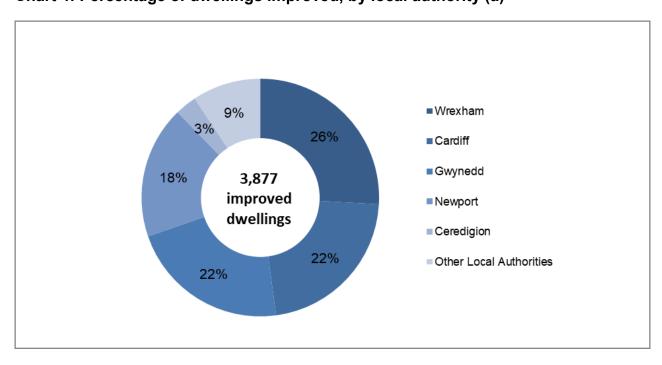
Chart 3: Percentage of dwellings improved, by tenure (a)



Source: Annual returns covering assistance for housing improvement

(a) Includes assistance for housing improvement under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. May also include some residual activity under the 1996 Act. Does not include Specific Capital Grants (SCGs).

Chart 4: Percentage of dwellings improved, by local authority (a)



Source: Annual returns covering assistance for housing improvement

<sup>(</sup>a) Includes assistance for housing improvement under the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. May also include some residual activity under the 1996 Act. Does not include Specific Capital Grants (SCGs).

At a local authority level, around 91% of all the dwellings improved due to expenditure on assistance for housing improvement (excluding DFGs) were attributed to 5 local authorities. Wrexham contained the highest proportion at 26%, followed by Cardiff and Gwynedd with 22% each then Newport with 18%. Ceredigion accounted for 3% with all other local authorities making up the final 9%.

Both the number of dwellings improved and the amount spent per dwelling varies considerably across the different authorities, depending on the type of assistance provided. This is a reflection of the different housing improvement assistance policies and grant schemes operated by each local authority. For example, other than expenditure on DFGs, Monmouthshire, Conwy, Neath Port Talbot, Blaenau Gwent and Carmarthenshire reported no expenditure on housing improvement assistance and no dwellings improved during 2020-21. Pembrokeshire reported expenditure of £1,000 with 2 dwellings improved whilst Cardiff reported expenditure of £5 million with 852 dwellings improved. Wrexham reported the largest number of improved dwellings in 2020-21 (1,378, 26% of the total)

## 3. Disabled Facilities Grants (DFGs)

This section of the release looks specifically at the number of, and expenditure on, Mandatory Disabled Facilities Grants (DFGs) during 2020-21 as well as expenditure on non-Mandatory DFG assistance by the local authorities including 'top up' loans and grants.

Disabled Facilities Grants are grants paid by a local authority which can help towards the cost of adapting a home to enable a disabled person to continue to live there.

The payment of some DFGs is mandatory under the Housing Grants, Construction and Regeneration Act (1996), the amount of grant will depend on the cost of the approved works and the homeowner's financial circumstances. It is also possible to improve a dwelling by delivering disabled adaptations without using a Disabled Facilities Grant and instead just using additional non-mandatory assistance. Local authorities are required to provide information on the amount they spend on this type of non-mandatory assistance. However this must be the amount spent specifically on supporting a disabled adaptation rather than general improvement work. Further detail is provided in the Key Quality Information and Glossary sections of this release.

During 2020-21, 2,511 Mandatory Disabled Facilities Grants (DFGs), with a total value of £20.3 million, were completed by local authorities in Wales. This was a decrease of 37% on the previous year and represented 59% of the overall expenditure on assistance for housing improvement during 2020-21.

Since 2013-14 information has also been collected covering the number of dwellings improved through adaptation work. As dwellings can be improved through adaptation work which is outside the mandatory DFG process, the number of dwellings improved may differ from the number of completed grants. During 2020-21, a total of 3,863 dwellings were improved across Wales due to DFGs, compared with a total of 2,511 completed Mandatory DFGs.

Table 3 – Expenditure on all disabled facilities grants and assistance (a)(b)

								£	thousands
DFG	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
Mandatory DFGs approved under the 1996 Act.	32,700	32,726	32,096	34,345	32,520	33,870	32,320	-	20,258
Additional non-mandatory DFG assistance (including top-up loans and grants)	982	1,050	1,088	1,401	2,039	2,231	2,003	-	2,193
Total DFG expenditure	33,682	33,776	33,183	35,746	34,559	36,101	34,323	-	22,450

Source: Disabled Facility Grants return

At a Wales level, expenditure on mandatory DFGs remained fairly constant from 2012-13 to 2018-19. However, between 2018-19 and 2020-21, expenditure on mandatory DFGs decreased by over a third (from £32.3 million to £20.3 million). Across Wales, expenditure on additional non-mandatory DFG assistance is generally at a much lower level than that on mandatory DFGs (Table 3).

During 2020-21, expenditure on non-mandatory DFG assistance increased by 9% compared to the previous year to £2.2 million (<u>Table 3</u>). This represented around 10% of all DFG expenditure during the year.

At a local authority level, 21 of the 22 authorities reported a decrease in their spending on mandatory DFGs between 2018-19 and 2020-21 with Flintshire being the only local authority that saw an increase (of 22%, £85,000). The largest percentage decrease was recorded in Merthyr Tydfil, where expenditure was down by 78% (£476,000), followed by Caerphilly, where spending was down by 68% (£588,000).

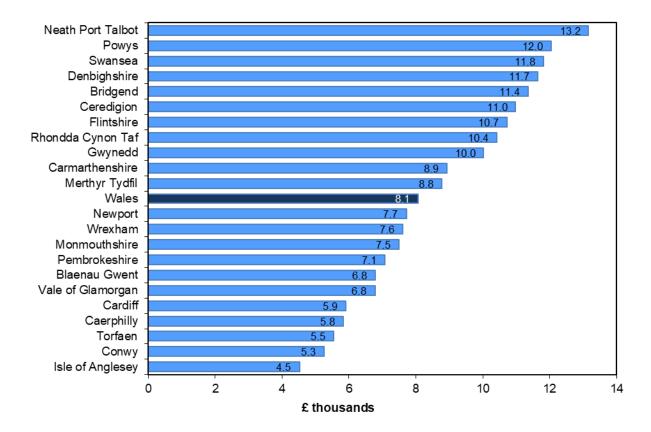
Detailed information on DFG expenditure at an individual local authority level is available on <u>Stats</u> <u>Wales</u>.

<sup>(</sup>a) Housing Grants, Construction and Regeneration Act 1996.

<sup>(</sup>b) Only includes expenditure on completed mandatory disabled facility grants where final payments have been made on the grant and certified. Does not include applicants' contribution and additional fees. Includes additional non-mandatory assistance by the local authority such as top up loans and grants.

<sup>(</sup>c) data not collected for 2019-20 due to the impact of the COVID-19 pandemic

Chart 5: Value of completed mandatory disabled facilities grants (DFGs) per completed grant, 2020-21



Source: Disabled Facilities Grants return

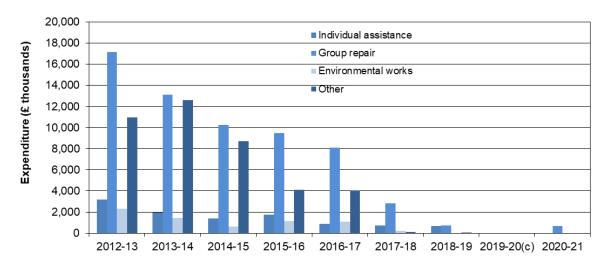
During 2020-21, the average spend on mandatory DFGs per completed grant in Wales was £8,068 which was 2% more than the 2018-19 figure (£7,910) per completed grant. However, the amount spent varied considerably between authorities, with Neath Port Talbot spending, on average, around £13,200 per completed grant, compared with £4,500 per completed grant in the Isle of Anglesey. The average spend is based on the number and value of all completed mandatory DFGs over the period and it should be noted that it is possible for a dwelling to be in receipt of more than one mandatory DFG. Further data on Local Authority expenditure on mandatory DFGs can be found on StatsWales.

## 4. Renewal areas

Renewal areas were established to improve housing and general amenities of an area where social and environmental problems are combined with poor housing. Area-based renewal schemes enabled local authorities to focus activity and investment on areas that combine a need for assistance with the potential for regeneration. Investment in these areas aimed to secure improvements to both the houses themselves and to environmental, social and economic conditions. Further information is available in the key quality information and glossary sections of the release.

Renewal area expenditure in Wales has reduced from £1.4 million in 2018-19 to £670,000 in 2020-21. By 2020-21, just one renewal area existed – a group repair scheme in Conwy.

Chart 6: Renewal area expenditure, by type of expenditure (a)(b)



Source: Annual Renewal Area Activity returns and Welsh Government

- (a) Includes third party loans and other third party assistance facilitated by the local authority. Excludes any repayments of grants or repayments of loans to local authorities
- (b) Data includes Specific Capital Grants (SCGs).
- (c) Data not collected due to COVID-19 pandemic

A total of £670,000 was spent on renewal areas in 2020-21 compared with £1.4 million in 2018-19; a significant drop of 53%. All of this expenditure was on a group repair scheme in Conwy.

Further information on renewal area expenditure is available on **Stats Wales**.

## **Future collections**

In July 2021 a <u>research report summarising information on housing adaptations completed within</u> the period 1 April 2019 to 31 March 2020 was published.

The data within this report was collected directly from adaptations providers by the Welsh Government. Submissions were received from all 13 Care & Repair agencies, from all 22 local authorities, and from 29 housing associations. Six housing associations, two of which were the Large Scale Voluntary Transfer bodies which took over the ownership of former council housing, did not submit returns. This report does not therefore provide a complete national picture of the delivery of housing adaptations.

However, given the scope of this data collection, we are considering whether it could replace the information currently collected annually from local authorities on assistance for housing improvement as published within this release.

We will be reviewing the content and scope of this release in due course and welcome any feedback on these early proposals. Please provide your comments by email to stats.housing@gov.wales.

## 5. Key quality information

In addition to the information below, further information can be found in the <u>Housing Statistics</u> Quality Report which is available on our website.

## Well-being of Future Generations Act (WFG)

The Well-being of Future Generations Act 2015 is about improving the social, economic, environmental and cultural wellbeing of Wales. The Act puts in place seven wellbeing goals for Wales. These are for a more equal, prosperous, resilient, healthier and globally responsible Wales, with cohesive communities and a vibrant culture and thriving Welsh language. Under section (10)(1) of the Act, the Welsh Ministers must (a) publish indicators ("national indicators") that must be applied for the purpose of measuring progress towards the achievement of the wellbeing goals, and (b) lay a copy of the national indicators before Senedd Cymru. Under section 10(8) of the Well-being of Future Generations Act, where the Welsh Ministers revise the national indicators, they must as soon as reasonably practicable (a) publish the indicators as revised and (b) lay a copy of them before the Senedd. These national indicators were laid before the Senedd in 2021. The indicators laid on 14 December 2021 replace the set laid on 16 March 2016.

Information on the indicators, along with narratives for each of the well-being goals and associated technical information is available in the Well-being of Wales report.

Further information on the Well-being of Future Generations (Wales) Act 2015.

The statistics included in this release could also provide supporting narrative to the national indicators and be used by public services boards in relation to their local well-being assessments and local well-being plans.

## **National Statistics status**

The <u>United Kingdom Statistics Authority</u> has designated these statistics as National Statistics, in accordance with the Statistics and Registration Service Act 2007 and signifying compliance with the <u>Code of Practice for Statistics</u>.

National Statistics status means that official statistics meet the highest standards of trustworthiness, quality and public value.

All official statistics should comply with all aspects of the Code of Practice for Statistics. They are awarded National Statistics status following an assessment by the UK Statistics Authority's regulatory arm. The Authority considers whether the statistics meet the highest standards of Code compliance, including the value they add to public decisions and debate.

It is Welsh Government's responsibility to maintain compliance with the standards expected of National Statistics. If we become concerned about whether these statistics are still meeting the appropriate standards, we will discuss any concerns with the Authority promptly. National Statistics status can be removed at any point when the highest standards are not maintained, and reinstated when standards are restored.

These statistics last underwent a full <u>assessment</u> against the Code of Practice in 2011. Since the latest review by the Office for Statistics Regulation, we have continued to comply with the Code of Practice for Statistics, and have made the following improvements:

- Added contextual information to the release.
- Enhanced trustworthiness by reviewing and reducing the number of officials with pre-release access.

## Policy and operational context

This information is used to monitor the effectiveness of current policy for all households in Wales to have the opportunity to live in good quality homes and for future policy development.

The <u>Housing (Wales) Act 2014</u> became law on 17 September 2014 and introduces significant improvements across the housing sector to help ensure that people have access to a decent, affordable home and better housing-related services. The Act sits alongside a wide range of policy developments and deployment of resources to increase housing supply, improve housing quality and housing services.

#### Users and uses

The information in this release is used by the Welsh Government to establish and monitor the amount spent annually across Wales on assistance for housing improvement and the number of dwellings that have been improved. It is used to monitor trends in activity undertaken by local authorities to improve the quality of housing stock within their area.

The information on both mandatory and non-mandatory Disabled Facilities Grants (DFGs) helps provide a picture of the number and types of DFGs and the costs of adaptations at a local and national level. Local authorities use the information for a number of reasons, including strategic planning for housing and completing Local Housing Market Assessments.

More generally the information is used for:

- · monitoring housing trends;
- policy development;
- advice to Ministers;
- informing debate in the Senedd Cymru and beyond; and
- geographic profiling, comparisons and benchmarking.

There are a variety of users of these statistics including national and local government, researchers, academics and students. For further information on the users and uses please refer to the <a href="Housing Statistics Quality Report">Housing Statistics Quality Report</a>.

## Data source and coverage

Data in this statistical release is collected annually by the Welsh Government from all 22 local authorities in Wales. The most recent statistics cover the 2020-21 financial year. The data shown in this release are collected via three separate returns, covering:

- Assistance for housing improvement
- <u>Disabled Facilities Grants</u>
- Renewal Areas

Further information on the data processing cycle can also be found in the <u>Housing Statistics Quality</u> <u>Report</u>. All 22 local authorities responded to the data collection for 2020-21 therefore there has been no imputation of the data.

The information shown includes activity under the <u>Local Government and Housing Act 1989</u>, the <u>Housing Grants</u>, <u>Construction and Regeneration Act 1996</u>) and the <u>Regulatory Reform (Housing Assistance)</u> (England and Wales) Order 2002.

## Quality

As noted above, the United Kingdom Statistics Authority has designated these statistics as National Statistics. Designation can be broadly interpreted to mean that the statistics:

- meet identified user needs;
- are well explained and readily accessible;
- are produced according to sound methods, and
- are managed impartially and objectively in the public interest.
- Once statistics have been designated as National Statistics it is a statutory requirement that the Code of Practice shall continue to be observed.

National Statistics are produced to high professional standards set out in the Code of Practice for Statistics. They undergo regular quality assurance reviews to ensure that they meet customer needs. They are produced free from any political reference.

Welsh housing statistics adhere to the Welsh Government's <u>Statistical Quality Management</u> <u>Strategy</u>, and this is in line with the European Statistical System's six dimensions of quality, as listed in Principle 4 of the Code of Practice for Official Statistics. Further detail on how these are adhered to can be found in the <u>Housing Statistics Quality Report</u>, which covers the general principles and processes leading up to the production of our housing statistics. The report covers various topics including definitions, coverage, timeliness, relevance and comparability.

## Administrative data quality assurance

This release has been scored against the UK Statistics Authority Administrative Data Quality Assurance matrix. The matrix is the UK Statistics Authority regulatory standard for the quality

assurance of administrative data. The Standard recognises the increasing role that administrative data are playing in the production of official statistics and clarifies what producers of official statistics should do to assure themselves of the quality of these data. The toolkit that supports it provides helpful guidance to statistical producers about the practices they can adopt to assure the quality of the data they receive, and sets out the standards for assessing statistics against the Code of Practice for Official Statistics.

The matrix assesses the release against the following criteria:

- operational context and administrative data collection
- communication with data supply partners
- quality assurance principles, standards and checks applied by data suppliers
- producer's quality assurance investigations and documentation.

Local authorities are notified of the data collection exercise timetable in advance. This allows adequate time for local authorities to collate their information, and to raise any issues they may have. There is guidance in the spreadsheet, which assists users on completing the form.

#### Validation and verification

Local authorities complete data collection forms with built in guidance and primary validation which allow them to validate some data before sending to the Welsh Government.

Examples of validation checks within the forms include year-on-year changes, cross checks with other relevant data tables and checks to ensure data is logically consistent. The authorities are also given an opportunity to include contextual information where large changes have occurred (e.g. data items changing by more than 10% compared to the previous year). This enables some data cleansing at source and minimises follow up queries.

Copies of the data collection forms can be found on the website.

<u>Assistance for Housing Improvement – Data collection</u>

Disabled facilities grants for housing - Data collection

Housing renewal areas activity - Data collection

Once we receive the data, it goes through further validation and verification checks, for example:

- common sense check for any missing/incorrect data without any explanation;
- arithmetic consistency checks;
- · cross checks against the data for the previous year;
- cross checks with other relevant data collections;
- thorough tolerance checks;
- verification that data outside of tolerances is actually correct.

If there is a validation error, we contact the local authority and seek resolution. If we fail to get an answer within a reasonable timescale, we will use imputation to improve data quality. We will then inform the organisation and explain to them how we have amended or imputed the data. The

method of imputation and the affected data is highlighted in the 'quality information' section of the first release.

More detailed quality information relating specifically to assistance for housing improvement by local authorities, which is not included in the quality report, is given below.

## **Data quality**

In tables where figures have been rounded, the sum of the individual figures may not equal the total shown.

Chart 2 splits the number of dwellings improved by type of recipient. The guidance stipulates that only one type of recipient can be included per dwelling. If a recipient falls into more than one category, they may only be counted once. The following priority order should be used for the categories:

- 1. Disabled;
- 2. Older people;
- 3. Other.

'Older people' covers male and female applicants aged 60 and over

When the assistance is provided to the landlord, it should be allocated to 'type of recipient' in terms of the occupant of the dwelling.

## Impact of the Coronavirus pandemic on this release

Due to the Coronavirus pandemic, data for 2019-20 were not collected or published. See the <u>statement on our website</u> for further information.

The period covered by this release (April 2020 to March 2021) was during the first year of the Coronavirus (Covid-19) pandemic which saw the introduction of public health measures, including some periods of lockdown. Therefore, disruption to local authority services during this period may have impacted the figures. This should be kept in mind, particularly when comparing with previous years' data.

## Comparability

For the 2013-14 data collection process onwards, the definitions and guidance covering 'third party assistance and loans facilitated by the local authority' were extended following review to ensure that funding schemes such as Houseproud, Warmer Wales, Energy Company Obligations (ECO), Green Deal, Nest and Arbed were included. The information shown from 2013-14 for 'third party assistance and loans facilitated by the local authority' is therefore not strictly comparable with that shown for previous years where information on these funding schemes may have not been reported.

Data on non-mandatory DFG assistance has been collected and included in these statistics since 2009-10. Non-mandatory DFGs make up a small proportion of assistance for housing improvement, however caution should be taken when comparing figures from prior to 2009-10.

In 2013-14, information was collected for the first time covering the number of dwellings improved through adaptation work. The number of dwellings improved however may be greater than the total number of mandatory DFGs completed as dwellings can be improved through adaptation work which is outside of the Mandatory DFG process.

In order to assist with comparability between local authorities, all data providers are issued with the same data collection form and guidance. However, we are aware that some local authorities include other small works grants in their DFG figures, whilst others do not. These issues are relatively small and do not impact significantly on the Wales total.

#### Revisions

This release contains the final data for 2020-21 financial year. Revisions can arise from events such as late returns from a local authority or when a data supplier notifies the Welsh Government that they have submitted incorrect information and resubmits this. Occasionally, revisions can occur due to errors in our statistical processes. In these cases, a judgement is made as to whether the change is significant enough to publish a revised statistical release.

Revised figures have been marked with an "r", in the relevant tables, charts and in the related text throughout.

Where changes are not deemed to be significant (i.e. minor changes), these will be updated in the following year's statistical release. However, minor amendments to the figures may be reflected in the StatsWales tables prior to that next release.

Sometimes local authorities submit revised data for previous years. If this happens, we will update the information in the following year's statistical release.

We follow the Welsh Government's statistical revisions policy.

## **Accessibility**

A full set of data on assistance for housing improvement, mandatory DFGs and renewal areas, including information by individual local authority is available to download from our StatsWales interactive website.

Assistance for housing improvement

Disabled facilities grants

#### Coherence with other statistics

## Related statistics for other UK countries

Housing statistics for other UK countries are available at the following links:

## **England**

Private sector renewal and DFGs in England are covered by the same legislation as Wales - the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. Comparable information for England on loans, grants and other assistance is collected in Section F of the annual 'Local Authority Housing Statistics' (LAHS) data returns.

#### Scotland

The Housing (Scotland) 2006 Act introduced powers enabling local authorities to provide assistance for repair and improvement similar to those covered by the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002.

Housing Statistics for Scotland - Private Sector Housing Improvement and Repair Grants

## Northern Ireland

<u>Information on grants available for home improvement in Northern Ireland</u> including Renovation, Home Repair Assistance and Disabled Facilities Grants.

## **Glossary**

## Additional or ancillary fees

All non-building works costs, for example agency fees.

## Assistance for housing improvement

Local authorities can offer homeowners financial help with home improvement and repair. This can include grants and loans for acquiring, adapting, improving, repairing, demolishing and replacing accommodation. In many cases, this assistance is targeted at vulnerable groups such as the disabled or elderly people. This assistance is referred to in this statistical release as assistance for housing improvement.

#### Certified

The date on which the eligible works are completed to the satisfaction of the local housing authority.

### Common parts

In relation to a building, this includes the structure and exterior of the building and common facilities provided, whether in the building or elsewhere, for persons who include the occupiers of one or more flats in the building.

## **Completed grants**

Final payment has been made on the grant and it has been certified.

## **Disabled facilities grants (DFGs)**

Disable Facilities Grants are grants paid by a local authority for the provision of facilities for a disabled person (a) in a dwelling; or (b) in the common parts of a building containing one or more flats. These grants can help towards the cost of adapting a home to enable a disabled person to continue to live there. Examples of what a DFG might be used for are:

- Improving access to a room, for example widening doors or installing a stair lift;
- Providing additional bathing, for example a level access shower; and
- Making preparation of food and cooking easier, for example providing low level units.

The payment of some DFGs is mandatory under the Housing Grants, Construction and Regeneration Act 1996 as amended by the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002. The amount of grant will depend on the cost of the approved works and the homeowner's financial circumstances. The maximum grant payable is £36,000 in Wales but a local authority may use its discretion to pay additional costs if it chooses.

## **Dwelling**

A building or part of a building occupied or intended to be occupied as a separate dwelling. For the purpose of this return, dwellings include houses in multiple occupation (HMOs) as defined in section 77 of the Housing Act 2004. They do not include individual bedsits (that is single rooms without the exclusive use of bath/shower or inside WC), but each group of bedsits sharing facilities should be counted as one dwelling.

## **Elderly**

'Elderly people' covers male and female applicants aged 60 and over.

## "Group repair" type schemes

This is a generic term and not defined in legislation. It is used to cover a range of schemes that local authorities may have in their local policies for the purpose of renewing a number of dwellings at the same time.

## Houses in multiple occupation (HMOs)

These are defined in sections 254-260 of the Housing Act 2004 as a building or part of a building which:

- (i) meets the standard test;
- (ii) meets the self-contained flat test;
- (iii) meets the converted building test;
- (iv) has an HMO declaration in force; or
- (v) is a converted block of flats.

## Local authority expenditure in renewal areas

Local authority expenditure is gross un-hypothecated General Capital Fund (GCF) expenditure which means that the money has not been given to the local authority for a specific purpose, and it is the local authority's decision as to how to spend it.

## **Mandatory DFGs**

All disabled facilities grants approved under the 1996 Act.

## **Owner occupiers**

Residents who own their own home or own with a mortgage.

#### Private renting

Residents who rent their dwelling from a private landlord.

#### Renewal areas

Area-based renewal schemes enable local authorities to focus activity and investment on areas that combine a need for assistance with the potential for regeneration. Investment in area-based renewal schemes should not only secure improvements to houses themselves but also to environmental, social and economic conditions, leading to a restoration of confidence in the area. These wide ranging benefits of area renewal are also important in tackling the problems many local communities face in terms of social exclusion and sustainability. The Welsh Government provides local authorities with grants for housing renewal areas.

#### Renewal areas seek to:

- improve housing and general amenities of an area where social and environmental problems are combined with poor housing;
- develop partnerships between residents, private sector interests and the local authority;

- bring about regeneration, including mixed-use development; and
- increase confidence in the future of an area, and through this help reverse any process of decline.

## Repayments

These include repayments of loans funded by the local authorities and recoveries resulting from breaches of grant conditions.

### Residents' contributions

These are counted at the point when the grant or assistance is awarded. The amount of contribution is determined by the test of resources as set by the local authority.

#### Social landlord

Residents who rent from either registered social landlords (housing associations) or the local authority.

## Third party assistance

Includes any assistance to renewal activity from other sources. These could include contributions from the following organisations. (This is not a definitive list):

- Houseproud Assistance
- Voluntary sector expenditure
- Communities First expenditure
- Local Health Board expenditure
- Heads of the Valleys
- Groundwork
- Flying Start
- European Structural Funds
- Regeneration Investment Fund for Wales (RIFW), previously known as JESSICA (Joint
- European Support for Sustainable Investment in City Areas)
- National Park expenditure
- Energy efficiency schemes such as Nest, Arbed and the Warm Home Programme

## Third party assistance and loans facilitated by the local authority

This means that the local authority is involved in some way, for example by providing the funding for the third party to give the loan.

## Vibrant and viable places

Vibrant and Viable Places was the Welsh Government regeneration framework which operated between 2014 and 2017. Local authorities shared a fund of more than £100m for projects in town centres, coastal communities and Communities First clusters. The aim was to achieve long-lasting economic and social change through investing in people and places. It aimed to increase skills and employment opportunities and improve communities so they are better for people and the environment. An additional £7m was allocated for town centre related projects that tackle poverty. This fund was ring fenced for projects in the top 10% most deprived areas in Wales.

Housing renewal areas are an example of area-based housing-led regeneration programmes. Housing Renewal Areas can deliver significant regeneration impacts, and need to be part of wider plans, particularly in town centres. Since March 2013 funding for Renewal Areas was included in the overall regeneration budget allocations as part of the 'Vibrant and Viable places regeneration framework'. Specific Renewal Area funding came to an end in 2016/17.

## **Further details**

The document is available at:

https://gov.wales/assistance-housing-improvement

## **Next update**

To be confirmed.

## We want your feedback

We welcome any feedback on any aspect of these statistics which can be provided by email to <a href="mailto:stats.housing@gov.wales">stats.housing@gov.wales</a>

## **Open Government Licence**

All content is available under the Open Government Licence v3.0, except where otherwise stated.

